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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Croft III, et al.
SERIAL NO.: 10/055,821
FILED: Jan. 22, 2002
CONFIRM. NO.: 9519
FOR: SINGLE-ENDED
PLANAR-MAGNETIC
SPEAKER
ART UNIT: 2644
DOCKET NO.: T9573.NP

CERTIFICATE OF DEPOSIT
UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, under 37 C.F.R. § 1.8 on the date indicated below and is addressed to Assistant Commissioner of Patents, Washington, D.C. 20231.

Clinton W. Thompson
Clinton W. Thompson
11-4-02
Date of Deposit

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

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Assistant Commissioner of Patents
Washington, D.C. 20231

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Technology Center 2600

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to:

 X 37 C.F.R. § 1.97 (b)(1) or (3), within three months of the filing date of the application, or before a first office action on the merits, whichever occurs last;

 37 C.F.R. § 1.97 (c), after a first office action on the merits, but before a Final Office Action or a Notice of Allowance, whichever occurs first, and is accompanied by either 1) a statement in accordance with 37 C.F.R. § 1.97(e), or 2) the fee set forth in § 1.17(p); or

 37 C.F.R. § 1.97 (d), after a Final Office Action or Notice of Allowance, whichever occurs first, but on or before payment of the issue fee, and is accompanied by both 1) a statement in accordance with 37 C.F.R. § 1.97(e), and 2) the fee set forth in § 1.17(p).

While no representation is made that any of these references may be "prior art" within the meaning

Assistant Commissioner of Patents

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of that term in accordance with 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

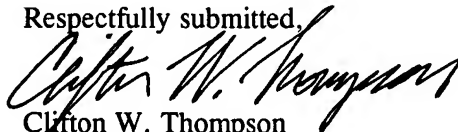
In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) which was not previously submitted to, or cited by, the Patent Office is also enclosed.

For all listed references that are not either in the English language, or accompanied by a translation into English, a concise explanation of relevance as required under 37 C.F.R. § 1.98(a)(3) is enclosed attached to each.

Please charge any additional fees or credit any overpayment to Deposit Account No. 20-0100.

DATED this 4th day of November, 2002.

Respectfully submitted,

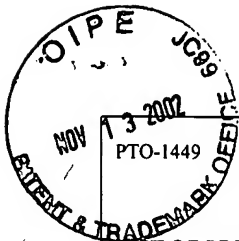


Clifton W. Thompson
Attorney for Applicant
Registration No. 36,947

THORPE NORTH & WESTERN, L.L.P.
P.O. Box 1219
Sandy, Utah 84091-1219
Telephone (801) 566-6633

CWT:ja

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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
T9573.NPSERIAL NO.
10/055,821

LIST OF PRIOR ART CITED BY APPLICANT

SUPPLEMENTAL PRIOR ART

APPLICANT
Croft III et alFILING DATE
1-22-02GROUP
2644

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,684,884	Nov. 4, 1997	Nakaya, et al.			
	AB	5,953,438	Sept. 14, 1999	Stevenson, et al.			
	AC	6,104,825	Aug. 15, 2000	Thigpen			
	AD	6,137,891	Oct. 24, 2000	Porrazzo, et al.			
	AE						
	AF						
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	AO						
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	AQ						
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FOREIGN PATENT DOCUMENT

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AS							
	AT							
	AU							
	AV							
	AW							

OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)

	AX	
	AY	
	AZ	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance And not considered. Include copy of this form with next communication to Applicant.